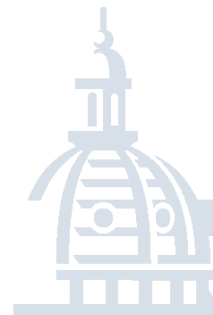


# FISCAL UPDATE

## *Fiscal Services Division*

December 22, 2014



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## REVENUE ESTIMATING CONFERENCE - DECEMBER MEETING

**REC Estimates.** The Revenue Estimating Conference (REC) met on December 12, 2014, and increased the estimated FY 2015 General Fund receipts by \$6.8 million compared to the FY 2015 October REC estimate. The REC also increased the estimated FY 2016 General Fund receipts by \$16.1 million.

**FY 2015.** The December REC estimate for FY 2015 net General Fund receipts, including transfers, totals \$6,857.1 million, an increase of \$368.1 million compared to actual FY 2014. The estimated FY 2015 changes compared to FY 2014 include:

- An increase of \$227.3 million (5.7%) in gross income tax receipts. Modest economic growth is anticipated to lead to a modest increase in tax receipts. Through December 12, 2014, gross income tax receipts were up 4.6%.
- An increase of \$115.1 million (4.4%) in gross sales/use tax receipts. Modest economic growth is anticipated to lead to a modest increase in taxable sales. Through December 12, 2014, gross sales/use tax receipts were up 5.3%.
- An increase of \$21.1 million (3.8%) in gross corporate tax receipts. Through December 12, 2014, corporate tax receipts were down 7.6%.

**FY 2016.** The December REC estimate for FY 2016 net General Fund receipts, including transfers, totals \$7,194.6 million, an increase of \$337.5 million compared to revised FY 2015 estimate. The estimated changes include an increase of 5.6% in gross income tax, 4.3% in gross sales/use tax, and 6.9% in corporate tax.

**Charts.** The charts on the following page provide additional detail for both the FY 2015 and FY 2016 revenue projections.

**Next Meeting.** The next REC meeting has not been scheduled but will likely occur in March or April 2015. A detailed spreadsheet of the REC estimates is available on the Legislative Services Agency (LSA) website.

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**FY 2015 Revenue Estimating Conference Projection**

Dollars in Millions

	Actual FY 2014	October FY 2015 Estimate	December FY 2015 Estimate	Increase (Decrease) to October Estimate	Increase (Decrease) to Actual FY 2014	Percentage Change to Actual FY 2014
Income Tax	\$3,974.9	\$4,208.1	\$4,202.1	\$-6.0	\$227.2	5.7%
Sales/Use Tax	2,642.3	2,747.6	2,757.4	9.8	115.1	4.4%
Corporate Tax	549.6	557.8	570.7	12.9	21.1	3.8%
Insurance Tax	105.6	104.8	104.8	0.0	-0.8	-0.8%
Cigarette/Tobacco Tax	1.4	0.0	0.0	0.0	-1.4	-100.0%
Other Taxes	149.2	155.0	143.9	-11.1	-5.3	-3.6%
Total Taxes	\$7,423.0	\$7,773.3	\$7,778.9	\$5.6	\$355.9	4.8%
Other Receipts	289.0	281.0	279.5	-1.5	-9.5	-3.3%
Gross Tax & Other Receipts	\$7,712.0	\$8,054.3	\$8,058.4	\$4.1	\$346.4	4.5%
Accruals (Net)	-16.2	44.7	26.4	-18.3	42.6	-263.0%
Refund (Accrual Basis)	-955.3	-926.0	-903.6	22.4	51.7	-5.4%
Schl. Infrs. Refunds (Accrual)	-440.4	-451.9	-451.2	0.7	-10.8	2.5%
Total Net Receipts	\$6,300.1	\$6,721.1	\$6,730.0	\$8.9	\$429.9	6.8%
Transfers (Accrual Basis)	188.9	129.2	127.1	-2.1	-61.8	-32.7%
Net Receipts Plus Transfers	\$6,489.0	\$6,850.3	\$6,857.1	\$6.8	\$368.1	5.7%

**FY 2016 Revenue Estimating Conference Projection**

Dollars in Millions

	Estimate FY 2015	October FY 2016 Estimate	December FY 2016 Estimate	Increase (Decrease) to October Estimate	Increase (Decrease) to Estimated FY 2015	Percentage Change to Estimated FY 2015
Income Tax	\$4,202.1	\$4,430.4	\$4,437.1	\$6.7	\$235.0	5.6%
Sales/Use Tax	2,757.4	2,869.9	2,876.6	6.7	119.2	4.3%
Corporate Tax	570.7	603.7	609.9	6.2	39.2	6.9%
Insurance Tax	104.8	104.7	102.6	-2.1	-2.2	-2.1%
Cigarette/Tobacco Tax	0.0	0.0	0.0	0.0	0.0	N.A.
Other Taxes	143.9	161.6	147.2	-14.4	3.3	2.3%
Total Taxes	\$7,778.9	\$8,170.3	\$8,173.4	\$3.1	\$394.5	5.1%
Other Receipts	279.5	282.9	280.2	-2.7	0.7	0.3%
Gross Tax & Other Receipts	\$8,058.4	\$8,453.2	\$8,453.6	\$0.4	\$395.2	4.9%
Accruals (Net)	26.4	27.0	27.3	0.3	0.9	3.4%
Refund (Accrual Basis)	-903.6	-936.0	-916.6	19.4	-13.0	1.4%
Schl. Infrs. Refunds (Accrual)	-451.2	-470.9	-472.3	-1.4	-21.1	4.7%
Total Net Receipts	\$6,730.0	\$7,073.3	\$7,092.0	\$18.7	\$362.0	5.4%
Transfers (Accrual Basis)	127.1	105.2	102.6	-2.6	-24.5	-19.3%
Net Receipts Plus Transfers	\$6,857.1	\$7,178.5	\$7,194.6	\$16.1	\$337.5	4.9%

## SUMMARY OF FY 2016 BUDGET

**Budget Summary Published.** The Fiscal Services Division recently published the [Summary of FY 2016 Budget and Department Requests](#). This document reviews receipts and expenditures of the current fiscal year (FY 2015) and summarizes department budget requests for FY 2016. In addition, the document contains preliminary revenue and budget projections for FY 2016. The document includes:

- Budget Overview. This section contains an overall review of the General Fund budget for FY 2015 and provides a summary of the funding increases requested by departments and agencies for FY 2016.
- Significant Budget Topics. There are individual sections of the document that summarize:
  - Revenue and economic outlook.
  - Federal funds.
  - School aid projections.
  - Property tax system modifications.
- Appropriations Tracking. The appropriations tracking section provides the individual General Fund and Other Fund appropriation requests compared to estimated FY 2015. The budget unit titles in the tracking section are hyperlinked to [Budget Unit Fiscal Topics](#) where available.

**Governor's Budget Summary.** A similar document analyzing the Governor's budget recommendations for FY 2016 will be published in January 2015.

**Governor's Budget Hearings.** The Governor held a series of budget hearings to receive additional information regarding Executive Branch department budget requests for FY 2016. Fiscal Services staff attended and monitored these hearings. Staff will include significant information and issues in the summary document of the Governor's budget recommendations issued in January 2015. For more information about these hearings, contact the appropriate Fiscal Services staff member. See the staff listing by subject area beginning on page 5 of the [Summary of FY 2016 Budget and Department Requests](#).

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## CALENDAR YEAR 2013 VEHICLE REGISTRATIONS

**Background.** Each year the Department of Transportation (DOT) publishes registration statistics for all Iowa vehicles. Iowa drivers pay annual registration fees that are deposited in the Road Use Tax Fund (RUTF) and TIME-21 Fund. These Funds are the largest sources of revenue for road construction in Iowa. Examples of registered vehicles include passenger vehicles, multipurpose, trailers, commercial trucks, motor homes, mopeds, motorcycle trailers, and buses. The method that determines a registration fee varies, but fees may be formula based, a flat rate, or adjustment based on vehicle age.

**Statistics.** In CY 2013 the total number of vehicles registered in Iowa grew from 4.20 million to 4.25 million, a growth rate of 1.1% over CY 2012. The total number of registered vehicles has grown four of the previous five years, with the growth rates ranging between a decrease of 0.1% to an increase of 1.1%. The average increase was 0.6% per year. Total revenue collected from annual registration fees increased from \$510.8 million to \$525.5 million (2.9%) over the last 5 years. Rates have ranged from 2.9% to 12.5%, an average rate of 7.0% over the reporting period. Note that receipts increased at a faster rate than overall registrations. This is due to increases in the average fee paid by several vehicles subject to registration, and changes enacted in the Iowa TIME-21 Act that increased annual registration fees.

**More Information.** Additional information is available on the DOT Website at:  
<http://www.iowadot.gov/mvd/FactsandStats.html#vehiclestats>.

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## IOWA PUBLIC INFORMATION BOARD – NEW EXECUTIVE DIRECTOR

**Administrative Changes.** On December 1, 2014, Charles Smithson succeeded Keith Luchtel as the Executive Director of the Iowa Public Information Board (IPIB). Luchtel announced his resignation earlier this year. Prior to accepting this position, Smithson served as the Legal Counsel and Executive Director of the Iowa Ethics and Campaign Disclosure Board from 1998 to 2010, Chief Clerk of the Iowa House Representatives from 2010 to 2012, and most recently as the Legal Counsel and Legislative Liaison for the Secretary of State's Office.

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## IOWA EDUCATION ASSESSMENT TASK FORCE RECOMMENDATIONS

**Task Force.** On November 4, 2014, the Assessment Task Force recommended that Iowa lawmakers adopt the Smarter Balanced Assessment as Iowa's new State test for public and accredited nonpublic schools starting with the 2016-2017 school year. The Task Force was comprised of 21 Iowa education stakeholders representing teachers, school administrators, State and regional agencies and associations, and parents and business leaders.

**Smarter Balanced Assessment.** The Smarter Balanced Assessment is a system that includes tests for mandatory accountability purposes, as well as optional interim tests for classroom use. The assessments are aligned to the Iowa Core standards and are designed to measure higher-order thinking skills. The assessments also will be computer adaptive to present an individually tailored set of questions to students based on their responses.

**Recommendations.** The Assessment Task Force recommends:

- The Smarter Balanced Assessment as a statewide assessment of student progress on a set of core academic indicators in mathematics and reading.
- The Legislature creates a work group to study technology readiness, including technology required for accommodations (for students with disabilities), and create a plan for moving to statewide online administration of assessments.
- Appropriations be available to ensure professional development is provided to support the administration of the new assessments, use of new assessment data, and other related needs; professional development resources are available for use by any providers, teacher leaders, and users; and time is provided for educators to take part in professional development.
- The State appropriate funds to provide all districts access to the full suite of Smarter Balanced Assessment tools.
- The State monitors the effectiveness of the new assessments, including ability to measure student progress toward college and career readiness.

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## STATE PUBLIC DEFENDER APPOINTMENTS

**Appointments.** Mr. Sam Langholz was appointed to be Division Administrator of the [Administrative Hearings Division](#) of the [Department of Inspections and Appeals](#) in October 2014. His previous position was [State Public Defender](#). The Governor named Mr. Adam Gregg as the State Public Defender on December 8, 2014. First Assistant State Public Defender, Mr. Kurt Swaim, served as Acting State Public Defender from October 31, 2014, to December 8, 2014.

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**NEW CORRECTIONAL OFFICERS**

**Funding.** The Department of Corrections (DOC) received an increase of \$2.0 million and 37.0 FTE positions for additional correctional officers from the General Fund in FY 2015 in [House File 2450](#) (Justice System Appropriations Act). The table below shows how the funds were allocated across the Institutions, how the staffing was distributed, and if and when the positions were filled.

**FY 2015 New Correctional Officer Positions**

Institution Location	Funds	Staff	Date Filled
Fort Madison	\$ 365,918	6.0 authorized; 3.0 eliminated	1.0 in October; 2.0 on hold
Anamosa	324,000	6.0 authorized; 4.0 eliminated	2.0 in hold
Oakdale	275,306	6.0 authorized and budgeted	6.0 on hold
Newton	108,000	2.0 authorized and budgeted	2.0 filled in August 2014
Mount Pleasant	378,000	7.0 authorized; 5.0 eliminated	2.0 on hold
Rockwell City	108,000	2.0 authorized; 2.0 eliminated	NA
Clarinda	216,000	4.0 authorized; 2.0 eliminated	1.0 filled in December 2014; 1.0 on hold
Mitchellville	108,000	2.0 authorized; 2.0 eliminated	NA
Fort Dodge	108,000	2.0 authorized and budgeted	2.0 filled in September 2014
Total	<u>\$1,991,224</u>	<u>37.0 authorized</u>	

**Positions.** Of the 37.0 FTE positions authorized, the DOC budgeted 19.0 and eliminated 18.0 positions. Of the 19.0 FTE positions budgeted, 6.0 were filled by December 2014. The remaining 13.0 FTE positions are on hold pending fiscal challenges.

**Clarinda Correctional Facility.** The Clarinda Correctional Facility received an increase of 5.0 FTE positions. This includes a transfer of \$147,000 and 2.0 FTE positions from the Iowa State Penitentiary (ISP), a psychologist and treatment director, and a transfer of \$161,000 from the Iowa Medical Classification Center at Oakdale to create 3.0 FTE positions (correctional officers) at the Clarinda Correctional Facility. These three correctional officer positions have been hired.

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**FUNDING FOR ADULT DRUG COURTS**

**Funding.** The Department of Corrections (DOC) received an increase of \$1.4 million and 8.0 FTE positions from the General Fund for FY 2015 in [HF 2450](#) (Justice System Appropriations Act) to create, maintain, or expand Adult Drug Courts. The funding included costs for staff, operations, and contracts. Funding was provided to replace expired federal grants in the First and Sixth Community-Based Corrections (CBC) District Departments, and to fund Adult Drug Courts in the Second, Fifth, and Seventh CBC District Departments. The table below shows how the funds and staff were allocated to the Community-Based Corrections (CBC) District Departments and when the positions were filled.

**FY 2015 Adult Drug Court Funding**

CBC District Department	Funds	Authorized Staff	Budgeted Staff	Job Title	Date Filled
First	\$ 289,500	1.0	1.0	PPO 3	August 2014
Second	402,300	4.0	4.0	2.0 CPM; 2.0 PPO 3	2.0 CPM projected to be filled in Jan./Feb. 2015; 2.0 PPO 3 filled in September and October 2014
Fifth	487,100	2.0	1.0	Substance Abuse Liaison	1.0 filled in October 2014; 1.0 position eliminated
Sixth	127,390	0.0	0.0	No staff - contract services	
Seventh	111,700	1.0	1.0	1 PPO 3	September 2014
Total	<u>\$1,417,990</u>	<u>8.0</u>	<u>7.0</u>		

**District Departments.** The First CBC District Department has two Adult Drug Courts: one in Waterloo that serves Black Hawk County and one in Dubuque that serves Dubuque and Delaware counties. Both Adult Drug Courts have a mental health component. The Second CBC District Department created two Adult Drug Courts. The Webster County Drug Court started in October 2014, while the Cerro Gordo Drug Court is scheduled to start in January 2015. The District Department filled 2.0 Parole/Probation Officer 3 (PPO 3) positions and intends to fill 2.0 Community Program Monitors (CPM). The Fifth CBC District Department added 1.0 FTE position that was filled in October 2014. The remaining 1.0 FTE position was eliminated and the funds were used to maintain existing operations. The Sixth CBC District Department received funds to replace an expired federal grant from the Substance Abuse and Mental Health Services Administration (SAMHSA) that are used to contract with Goodwill Industries to provide employment for the offenders in the Adult Drug Court. The Seventh CBC District Department filled the new position to maintain the existing Adult Drug Court. The table shows how the funding and staffing was allocated to the CBC District Departments, and if and when the positions were filled.

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## SEX OFFENDER SUPERVISION FUNDING

**Funding.** The Department of Corrections (DOC) received an increase of \$948,000 and 14.0 FTE positions from the General Fund for FY 2015 in [HF 2450](#) (Justice System Appropriations Act) to supervise sex offenders in the community on special sentence. This sentence starts once the original sentence is served. The table below shows how the funds and staff were allocated to the Community-Based Corrections (CBC) District Departments and when the positions were filled.

**FY 2015 Sex Offender Supervision**

CBC District Department	Funds	Staff	Date Filled
First	\$ 135,392	2.0	October 2014
Second	135,392	2.0	Sept./Oct 2014
Third	135,392	2.0	1.0 filled in September 2014; 1.0 in January 2015
Fourth	67,696	1.0	Projected January 2015
Fifth	203,088	3.0	Projected December 2014
Sixth	67,696	1.0	August 2014
Seventh	135,392	2.0	October 2014
Eighth	67,696	1.0	September 2014
	<u>\$ 947,744</u>	<u>14.0</u>	

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## JOINT MEETING

### SEX OFFENDER RESEARCH COUNCIL AND PUBLIC SAFETY ADVISORY BOARD

**Meeting.** The Sex Offender Research Council ([SORC](#)) met jointly with the Public Safety Advisory Board ([PSAB](#)) to discuss the report, "An Analysis of the Sex Offender Special Sentence in Iowa" drafted by the Criminal and Juvenile Justice Planning Division ([CJJPD](#)). The report includes background on Iowa's sentencing laws for sex offenders, a literature review of other states' sex offender laws and research studies on the effects of those laws, research on Iowa sex offenders pre- and post-implementation of the special sentence, costs of current law, and alternatives.

**Findings.** The report indicates there is no significant difference in new sex offense convictions for offenders supervised on the special sentence and those that are not on special sentence supervision. There is a high rate of revocations to prison for offenders on special sentence status. This is primarily due to technical violations, e.g., failure to participate in treatment or violation of special conditions. Sex offenders generally have a low recidivism rate for new sex offenses, but the cost to implement the special sentence is high (about \$5.6 million annually per the CJJPD).

**Recommendations.** The report includes the following recommendations:

- Imposition of the special sentence remains as in current law. The special sentence is imposed on certain offenders after the original sentence is served.
- A judge may hold an evidentiary hearing to determine whether or not to remove an offender from the special sentence. Judicial review includes but is not limited to the nature of the sex offense, the



offender's behavior while incarcerated, compliance with sex offender treatment, compliance with any mandates of the court, victim impact, and risk assessments.

- Additional funding should be directed to early and effective treatment of sex offenders.

**Action.** The SORC and PSAB jointly reviewed, discussed, and amended the draft report. The PSAB approved the report with recommended amendments. The SORC endorsed the report along with the PSAB recommendations. The joint meeting was dissolved.

**PSAB Meeting.** The PSAB reviewed, amended, and approved the annual PSAB Report to the General Assembly as drafted by the CJJPD. The report reviews the PSAB's support for:

- Changes to Iowa's child kidnapping law.
- Changes to Iowa's robbery statute.
- Appropriate use of risk assessments for drug traffickers.
- Review of crack and powder cocaine penalties and its impact on minorities.
- Implementation of Results First in Iowa's adult and juvenile justice systems.
- Continued study of youthful offender legislation and its impact on minorities.

**Next Meeting.** The PSAB is scheduled to meet February 26, 2015, after the Legislative Breakfast. Additional information is available upon request.

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## **CRIMINAL AND JUVENILE JUSTICE PLANNING ADVISORY COUNCIL MEETING**

**Meeting.** The [Criminal and Juvenile Justice Planning Advisory Council](#) met November 19, 2014, in Des Moines. Division Administrator Steve Michael briefed the Council on the Criminal and Juvenile Justice Planning Division's ([CJJPD](#)) long range plan, required in Iowa Code section [216A.135](#). The CJJPD held a public hearing on October 14, 2014, at the Des Moines Area Community College ([DMACC](#)) Southridge Success Center to gather public input for inclusion in the plan. The public was invited to participate via telephone conference call, e-mail, in person, and web-based technology. A summary of those comments will be available on the CJJP website.

**Plan.** The Criminal and Juvenile Justice Planning Advisory Council reviewed, amended, and approved the long-range plan and five-year goals. The long-range plan includes policy statements to:

- Reduce violence and prevent crime.
- Increase public confidence in the justice system.
- Decrease minority overrepresentation in the justice system.
- Expand and improve mental health services.
- Expand the use of substance abuse treatment services.
- Increase services to victims.
- Increase the efficacy of correctional resources.
- Continue the Criminal Justice Information System (CJIS) integration project.
- Improve sanctions, supervision, treatment, and services for adult offenders.
- Improve sanctions, supervision, treatment, and services for juvenile offenders.

**Report.** The Criminal and Juvenile Justice Planning Advisory Council reviewed, amended, and approved the State Legislation Monitoring Report. This draft report covers recently enacted legislation on the following topics:

- Synthetic drugs.
- Aggravated theft.
- 70.0% crimes (offenders are required to serve at least 70.0% of the sentence in State prison before being considered for parole).
- Residential treatment backlog.
- Enhanced penalty for domestic abuse assault involving strangulation.
- Amendments to child pornography laws.
- DNA collection and hits.
- Interference with official acts.



- Probation revocations by judicial districts by race.
- Elder abuse.
- Coach and student sex.
- Human trafficking of a minor.

**Next Meeting.** The Criminal and Juvenile Justice Planning Advisory Council is scheduled to meet February 26, 2015, in the Oran Pape Building at the [Department of Public Safety](#). Additional information is available upon request.

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## UPDATE ON PRISON CONSTRUCTION AT MITCHELLVILLE

**Background.** The General Assembly approved the construction of new and demolition of old buildings at the Iowa Correctional Institution for Women (ICIW) at Mitchellville during the 2008 Legislative Session. Approximately \$108.3 million was appropriated from a variety of funding sources from FY 2009 through FY 2014 for the capital costs. The plan was to have 888 beds at ICIW distributed as follows: 774 general population, 56 administrative segregation and disciplinary detention, and 58 medical and mental health beds. The latter figure includes infirmary, medical, assisted living, hospice, and mental health beds. The Department of Corrections (DOC) has dedicated four beds at the IMCC at Oakdale for women offenders that have severe medical issues and need access to the University of Iowa Hospitals and Clinics (UIHC). There are 778 general population beds designated for women offenders: 774 at ICIW and four at IMCC.

**Buildings Demolished.** Demolition of existing buildings and new construction is being completed in phases due to working on the grounds of an operating prison. As existing buildings are demolished and new buildings are constructed, the perimeter fence is moved to accommodate security needs at the Institution. Housing Unit 5 (vacant housing) is being demolished. Housing Unit 4 is scheduled for demolition. The following buildings have been demolished:

- Housing Units 1, 2, and 3.
- Dietary (Food Service).
- Administration (Administration, master control, education, gym, Iowa Communications Network (ICN), and security strip room).

**Buildings Completed.** The following buildings have been constructed and are in use:

- Building A – Administration and Visiting Room – opened in September 2013.
- Building W – Warehouse – opened in October 2013.
- Buildings F (Food Service), G (General population beds), H (Health Services), and N (Treatment and classrooms) – opened in February and March of 2014. Women offenders admitted to the State prison system are now directly admitted to the ICIW for their initial medical, psychological, and security screenings. They are no longer sent to the IMCC at Oakdale.

**Buildings Under Construction.** As of November 2014, the following buildings are under construction:

- Building Y – Minimum Live-Out (MLO) beds for minimum-security offenders is almost completed.
- Building P – Programs space including vocational training, education, gym, and library is under construction.

**On Hold.** Building Z – MLO support, including programming space, dining area, and visiting room is on hold. Construction of this building is delayed so that Building P can be built within the existing capital budget. If adequate funds are available within the current capital budget, Building Z will be built.

**MLO.** The MLO security designation indicates the offenders are of low risk and may live and work outside the fence in dormitory style housing units. The purpose of Building Z is to provide support for Building Y, so the MLO operation would be more efficient. If Building Z is built, staff, offenders, visitors, and food preparation would be self-contained in the MLO area (Buildings Y and Z).

**Capacity.** Current design capacity is 774 general population beds at ICIW. This number includes 120 MLO beds not in use because they are located in a construction zone. Actual capacity is 654 general

population beds. The offender count at the ICIW is 663 offenders on December 2, 2014; the ICIW is operating at 101.4% of actual capacity. The Department of Corrections ([DOC](#)) staff has added bunk beds in existing housing units for offenders until construction is completed. The estimated construction completion date is August/September of 2015. Given current population trends, it is expected that the ICIW will continue to operate beyond actual capacity until that time.

**Forecast.** The Criminal and Juvenile Justice Planning Division ([CJJPD](#)) recently published the [Iowa Prison Population Forecast FY 2014 – FY 2024](#). That report projects the population of women offenders will continue to increase over the next decade, reaching 860 offenders by the end of FY 2024. This projection assumes current offender behaviors as well as justice system trends, policies, procedures, and practices remain unchanged over the next 10 years. It is likely the ICIW will remain at or over actual capacity once all construction is completed.

**Operating budget.** The General Assembly increased General Fund appropriations to the ICIW by \$5.3 million in FY 2014 to operate additional beds. This amount includes the transfer of \$1.7 million from the Women's Unit at the Mount Pleasant Correctional Facility. That 100-bed unit closed and the women offenders transferred to the ICIW in August 2013 (FY 2014). The General Assembly provided an additional increase of \$216,000 and 4.0 FTE positions (correctional officers) from the General Fund in FY 2015 to fully fund and staff the reception center for women offenders. The DOC indicates an additional \$479,000 will be necessary in FY 2016 to maintain the new staff funded and filled in FY 2014 and FY 2015. The DOC estimates an additional \$3.6 million will fund an additional 50 staff to provide gender-specific treatment, education, and training for women offenders. This funding may lower the recidivism rate and will permit full use of all of the new buildings.

**More Information.** Additional information is available upon request.

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## **DEPARTMENT OF CORRECTIONS – ELECTRONIC MONITORING REPORT**

**Report.** The Department of Corrections ([DOC](#)) issued the [Electronic Monitoring Report](#) in November 2014. The report reviews criminal sentencing laws and corrections practices that impact the use of electronic monitoring, including:

- The requirement to electronically monitor offenders convicted of certain violent offenses, such as certain sex offenses, effective in FY 2006.
- The modification of the requirement to monitor these offenders based on validated risk assessment instruments, effective in FY 2010.
- The impact of special sentences that begin once the original sentence is discharged. Offenders convicted of certain serious misdemeanors or Class D felonies must serve a special sentence of 10 years, while offenders convicted of certain Class C, B, or A felonies must serve a special sentence for life.
- The requirement that offenders convicted of certain serious crimes be placed on electronic monitoring while working outside the secure perimeters of the Institution. On June 30, 2014, there were seven minimum security offenders, all at the North Central Correctional Facility at Rockwell City, on Global Positioning System (GPS) electronic monitoring devices.

**Types of Devices.** There are several different types of electronic monitoring devices, including radio frequency, GPS, video display, and Secure Continuous Remote Alcohol Monitor (SCRAM). At the end of FY 2014, there were 904 offenders on electronic monitoring. Of these offenders, 88.7% were on GPS devices, 4.1% were on radio frequency bracelets, 5.6% were on SCRAM, and the remaining 1.6% were on video display devices.

**Data.** The report provides data regarding the number of offenders on electronic monitoring by judicial district and lead offense. About 79.0% of the offenders were convicted of a violent offense, 4.3% were convicted of a drug offense, and 4.3% were convicted of a property offense. Approximately 10.7% of the offenders were convicted of public order offenses, such as Operating While Intoxicated (OWI), sex offender registration violations, pandering, prostitution, eluding, or driving while barred. The remaining 1.7% of the offenders on electronic monitoring devices was convicted of other offenses, such as court-ordered Civil Commitment Unit for Sex Offenders (CCUSO) or habitual offenders.

**Special Sentences.** There were 483 offenders on electronic monitoring at the end of FY 2014 that were serving a special sentence. This is about 53.4% of the total number of offenders on these devices. The Criminal and Juvenile Justice Planning Division (CJJPD) of the Department of Human Rights (DHR) projects a significant increase in this population over the next decade.

**More Information.** Additional information regarding offender data and electronic monitoring is available on the DOC website at: <http://www.doc.state.ia.us/default.asp>. Click on the [Research](#) tab or [Publications/Reports](#) tab for additional information. See the CJJPD's Website at: <http://www.humanrights.iowa.gov/cjip/index.html> for additional research reports on criminal and juvenile justice systems. The CJJPD's recent report, *Iowa Prison Population Forecast FY 2014 – FY 2024* is available at: [http://www.humanrights.iowa.gov/cjip/publications/prison\\_population.html](http://www.humanrights.iowa.gov/cjip/publications/prison_population.html).

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## IOWA 10-YEAR PRISON POPULATION FORECAST

**Forecast.** The Criminal and Juvenile Justice Planning Division (CJJPD) of the [Department of Human Rights](#) released its report entitled, "[Iowa Prison Population Forecast FY 2014 – FY 2024](#)." If current offender behaviors as well as justice system trends, policies, procedures, and practices remain unchanged, the prison population will be approximately 11,317 offenders on June 30, 2024. This is an increase of about 39.0% over the next decade.

**Overcrowding.** By FY 2024 and without any additional prison beds, overcrowding is expected to reach 154.4% of design capacity. This figure is based on the assumption that all of the additional beds authorized during the 2008 Legislative Session for Fort Madison and Mitchellville will be operating by FY 2024. Prison beds for women offenders is expected to be operating at 110.5% of capacity. The report shows there is expected to be 82 more women than the prison at Mitchellville is designed to hold. Overcrowding is expected to be higher for men, with the prisons operating at 159.8% of capacity. There may be 10,457 men housed in a system designed for 6,544 offenders. The forecast indicates there may be a need for 3,985 more prison beds.

**Construction.** If the prison population reaches 11,317 offenders, four additional 800-bed prisons may need to be built, in addition to the expansions authorized at Fort Madison and Mitchellville. If four additional prisons are built over the next decade, projected design capacity will be 10,532 beds with a projected population of 11,317 offenders; the prison system would be operating at 107.5% of capacity. The cost of one 800-bed prison with a mix of medium and minimum custody levels is approximately \$110.0 to \$115.0 million in construction costs. Construction of four such prisons may reach approximately \$460.0 million. Operating costs are estimated to be at least \$31.0 million annually per prison.

The forecast is not meant to be a prediction of what will happen. Rather it serves as a tool for policymakers to review current policies, practices, and procedures in order to make decisions to efficiently use the States resources.

**Factors.** There are several reasons for the projected increase in the prison population:

- An increase in probation revocation admissions to prison.
- An increase in offenders convicted of Class B felonies, especially for crimes that carry a mandatory minimum sentence where at least 70.0% of the sentence must be served in State prison. For these Class B felons, they must serve 17.5 years in prison before being considered for parole.
- A continued increase in the sex offender population sentenced to prison.
- An increase in felony convictions during the last three fiscal years. Offenders convicted of felony offenses rather than misdemeanors are more likely to be sentenced to prison.
- An increase in admissions to prison for drug offenses. While these admissions are below the FY 2005 level, they are trending back up over the last four years.

Several factors tend to ameliorate the increases above:

- An increase in aggravated misdemeanor offenders sentenced to prison. These offenders' length of stay in prison is fairly short.

- A decrease in average length of stay in prison before release for nearly all felony groups, except sex offenders.
- An increase in paroles. The number of offenders released to parole supervision has increased each of the last three years. This indicates a return to parole practices in FY 2006 where there was about two parole releases for every one release due to expiration of sentence.

**Sex Offenders.** The number of sex offenders in prison is expected to increase significantly in future fiscal years. This is primarily due to the 2005 amendments to the Criminal Code that imposed longer sentences for certain sex offenses, as well as special sentences that are served after the original sentence is discharged. Revocation to prison from special sentences is occurring at a higher rate than originally predicted. Sex offenders tend to serve more time in prison than other offenders within the same offense class. The report indicates it is expected that most sex offenders will be released from prison due to expiration of sentence, rather than by being granted a parole.

**More Information.** The Iowa Prison Population Forecast is available online at <http://www.humanrights.iowa.gov/cjip/images/pdf/Forecast2014.pdf>.

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## **STATE APPEAL BOARD MEETINGS**

**November.** The [State Appeal Board](#) met November 10, 2014, at the State Capitol. The Board discussed the public information request from a private citizen in Ringgold County.

**Claims.** The Board approved the following claims:

- General Claims filed under Iowa Code chapter [25](#). There were 10 claims totaling around \$17,600.
- Tort Claim filed under Iowa Code Chapter [669](#). The Board approved seven claims totaling approximately \$11,600. The Board denied 56 tort claims totaling about \$1.4 million. There were five tort claims withdrawn.

**December.** The [State Appeal Board](#) met December 1, 2014, in Des Moines. The Board approved a general claim of \$54,000 per an arbitration award of reinstatement, back pay, and benefits for an employee at the Anamosa State Penitentiary (ASP). The amount includes the State's share of payroll costs for the Federal Insurance Contributions Act ([FICA](#)) and the Iowa Public Employees' Retirement System ([IPERS](#)).

**Claims.** The Board approved the following claims:

- General claims filed under Iowa Code chapter [25](#) in the amount of approximately \$102,300. This figure includes the settlement paid to the ASP employee. The Board tabled claims filed by the Department of Corrections ([DOC](#)) for reimbursement to counties for holding alleged violators of parole, work release, and certain Operating While Intoxicated (OWI) offenders in county jail until a revocation proceeding is held. The DOC cited Iowa Code sections [904.513](#), [904.908](#), and [906.17](#) as the reason for not paying the claims; the claims were not submitted timely. These claims were submitted by Black Hawk County (four claims) and Scott County (one claim) for the periods of August through October 2013, April to July 2013, January through March 2013, December 2013 through April 2014, and June 2014. The Board requested additional information before it will reconsider the claims. See the **Fiscal Topic**, [Budget Unit: County Confinement Account](#) for additional information regarding the State appropriation for reimbursing counties for holding certain offenders in county jails.
- Tort claims filed under Iowa Code chapter [669](#). The Board approved claims totaling about \$181,800. This amount includes seven claims totaling about \$64,000 from the Department of Transportation. The figure also includes a claim for \$116,161 from a person that underwent surgery at the University of Iowa Hospitals and Claims (UIHC). The patient experienced nerve damage following the surgery that resulted in ongoing medical issues. The State and UIHC will each pay 50.0% or \$58,080 each. The Board denied tort claims totaling approximately \$6.2 million.

**Settlements and Judgments.** The Board approved:

- A settlement of \$45,000 to a former employee of the [City of Waukon](#). This amount includes \$30,000 to the plaintiff and \$15,000 in attorney fees and costs. The person filed a public accommodation disability determination in federal court under the federal Americans with Disabilities Act ([ADA](#)), the [Iowa Civil Rights Act](#), and violation of public policy. The plaintiff requested an accommodation from the Department of Natural Resources ([DNR](#)) when taking a wastewater operator certification exam administered by the agency. The City of Waukon terminated his employment for failure to obtain the certification before the DNR granted the accommodation.
- A judgment of \$545,999 to a former employee of the [Third Judicial District Department of Correctional Services](#) also referred to as the Third Community-Based Corrections (CBC) District Department. This seven-year-old case was tried by a judge that found in favor of the plaintiff on five counts. The case involved five employment claims, three discrimination claims, and two tort claims against the Third CBC District Department. The judgment includes about \$409,500 to the plaintiff and \$136,475 to the plaintiff's attorney. Refer to the **Fiscal Topic**, [Budget Unit: Community-Based Corrections](#) for an overview of Iowa's CBC system.

**Next Meeting.** The next meeting is scheduled for January 13, 2015, in Des Moines.

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## BOARD OF CORRECTIONS MEETING

**Meeting.** The Board of Corrections met November 7, 2014, at the Department of Corrections ([DOC](#)) Central Office in Des Moines.

**Advanced Care Planning.** Dr. Harbans Deol and Dr. Sara Sanders reviewed a recently published research project relating to advanced care planning for offenders. The project focused on the decision-making process for offenders that are frail/elderly or have an advanced health condition that may result in death within one year. The Advanced Care Planning (ACP) project was a collaborative effort between the DOC, University of Iowa Hospitals and Clinics (UIHC), Iowa Medical and Classification Center (IMCC) at Oakdale, and the University of Iowa (UI) School of Social Work. The DOC intends to implement this project across the prison system in the future through training of two or more individuals at each prison. The project enables the DOC and UIHC staff to honor health care wishes of offenders. It also provides the ability to:

- Transfer medical orders between medical and prison systems.
- Identify health care agent or proxy, if possible.
- Meet unmet psychosocial needs of offenders.
- Store and communicate ACP forms.

**Reentry Grant.** Deputy Director Dot Faust briefed Board members on a reentry grant recently awarded to the Office of Drug Control Policy ([ODCP](#)) with the DOC as the sub-recipient. This [U.S. Department of Justice](#) grant is \$3.0 million over three years to improve offender reentry processes so as to reduce recidivism. The scope of work includes:

- Plan and implement strategies for a comprehensive quality assurance plan to ensure consistency in programs and casework.
- Statewide training plan to address various levels of staff training to incorporate evidence based practices in the day to day operations within the corrections system.
- Enhance policies and practices of reentry as offenders transition from prison to the community. This will enable offenders to leverage existing resources at the local level. The DOC is partnering with the Department of Human Services ([DHS](#)) and the Department of Public Health ([DPH](#)) to form stronger relationships with providers in the community. The National Association on Mental Illness (NAMI) [Iowa chapter](#) is also invested in this effort.
- Review of job expectations and career paths to improve offender supervision and treatment.
- Measure needed resource allocations for staff in an evidence based work environment.
- State level interagency coordination and guidance through the Offender Reentry Task Force. The Task Force includes members from the DOC, DHS, [Iowa Legislature](#) including the Senate and House of Representatives, the [Judicial Branch](#), IDPH, [Board of Parole](#), [Department of Education](#), ODCP,



[Iowa Finance Authority](#), [Iowa County Attorneys Association](#), [Iowa Department of Veterans Affairs](#), [Department of Public Safety](#), [U.S. Department of Veterans Affairs](#), the [Governor's Office](#), [Iowa Police Chief's Association](#), and the Criminal and Juvenile Justice Planning Division ([CJJPD](#)) of the [Department of Human Rights](#).

**IPI.** Deputy Director Dan Clark and Iowa Prison Industries ([IPI](#)) Plant Manager Becky Jones informed the Board about IPI's recent focus on LEAN manufacturing. The purpose of LEAN is to institute a culture of continuous quality improvement by eliminating waste and encouraging employees to suggest improvements. There was a cultural hurdle to overcome as offenders are usually unwilling to speak up and point out problems. The IPI has implemented the LEAN process at the current Iowa State Penitentiary (ISP) at Fort Madison in its [Habitat for Humanity](#) Program. IPI partnered with the local community college and is now making two full sets of kitchen cabinets per day for Habitat for Humanity; previously, there were two sets of kitchen cabinets per week being manufactured at Fort Madison. The IPI has joined the [Iowa LEAN Consortium](#) and received the Partner in Efficiency Award for its improved manufacturing process at Fort Madison. The lessons learned at the current ISP location will be implemented at the new IPI operation at the new prison in Fort Madison. IPI is starting a textile plant in the Fort Dodge Correctional Facility. That operation will have LEAN principles built into it.

**Forecast.** DOC Research Director Lettie Prell and CJJPD staff Sarah Johnson presented the Board with the [Iowa Prison Population Forecast FY 2014 – FY 2024](#). Iowa's prison population is expected to remain stable through the end of FY 2015. Over the 10-year projection period, Iowa's prison population is expected to reach about 11,317 offenders, an increase of approximately 39.0%. Ms. Prell and Ms. Johnson reviewed the factors that impact the prison population admissions and releases.

**Public Comment.** Marty Hathaway, correctional officer at IMCC, updated the Board on the American Federation of State, County, and Municipal Employees ([AFSCME](#)) correspondence with the U.S. Department of Justice and its implementation of the federal Prison Rape Elimination Act ([PREA](#)). There will be AFSCME representatives travelling to a White House meeting in January to discuss concerns with PREA audits.

**Board Comment.** Board members requested an update on design and construction issues with the new Iowa State Penitentiary at Fort Madison. Deputy Director Brad Hier indicated the testing of the nine separate geo-thermal systems is 98.0% complete. The [Fire Marshal](#) did not issue an occupancy permit because the housing units failed inspection. Specifically, smoke did not clear the buildings as it should. The DOC is working on getting the buildings reclassified as "windowed" buildings. If successful, certain fixed windows could be retrofitted to become operational so they could be opened and closed to vent smoke. This is a less expensive option compared to what is required to meet the current classification of a "windowless" building. The transfer date to move about 550 maximum security offenders from the old prison to the new prison is not known at this time.

**Sixth District.** Board members requested information on the [Sixth Community-Based Corrections \(CBC\) District Department](#) in regard to its [special audit](#). There is a compliance report in progress at the [Office of the Auditor of State](#). What action, if any, the [Office of the Attorney General](#) may take is not known at this time.

**Contraband.** Board members requested an update on last month's discussion of contraband found at the North Central Correctional Facility (NCCF) at Rockwell City. There were up to 400 pills and four cellular telephones found at the prison. The drugs found were [creatine, a drug](#) that is used extensively by body builders. Creatine and cellular telephones are legal for civilians to use. However, they are prison contraband and information has been turned over to the county attorney for potential prosecution.

**Meetings.** The next Board of Corrections meeting is scheduled for January 22, 2015, in the DOC Central Office.

**More Information.** Additional information is available on the DOC Website at <http://www.doc.state.ia.us/default.asp>

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## REGENTS APPROVE TUITION FREEZE

**Tuition Freeze.** At the December 3, 2014, Board of Regents meeting, the Board approved a conditional tuition freeze for resident undergraduate students for FY 2016. The freeze is conditioned on the Legislature approving State funding for the universities at the level requested by the Board for FY 2016, an increase of \$21.7 million, allocated as follows:

- University of Iowa - An increase of \$4.0 million.
- Iowa State University - An increase of \$9.5 million, including \$6.4 million to implement the Performance-Based Funding (PBF) formula.
- University of Northern Iowa - An increase of \$8.2 million, including \$6.6 million to implement the PBF formula.

**Tuition Increases.** In October, the Board considered a 1.75% tuition increase for all students that would have generated \$14.5 million. At the December 3 meeting, the Board approved the 1.75% increase for graduate, professional, and nonresident undergraduates. The tuition freeze for resident undergraduates will reduce the original revenue projection by \$4.5 million.

**Further Information.** The full text of the Board's action is available online at:

[http://www.regents.iowa.gov/Meetings/DocketMemos/14Memos/December2014/1214\\_ITEM05.pdf](http://www.regents.iowa.gov/Meetings/DocketMemos/14Memos/December2014/1214_ITEM05.pdf).

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## CHILD CARE AND ADOPTION PROJECTIONS

**Projections Workgroup.** Staff from the Department of Management (DOM), the Department of Human Services (DHS), and the Fiscal Services Division of the Legislative Services Agency (LSA) met on December 15, 2014, to discuss estimated Child Care Assistance and Adoption Subsidy Program expenditures for FY 2015 and FY 2016. The projections workgroup is established in Iowa Code section [234.47](#) to estimate the expenditures and revenues for these programs.

**Child Care Assistance Program FY 2015.** There was a General Fund appropriation of \$47.1 million for the Child Care Assistance (CCA) Program (2014 Iowa Acts, chapter [1140](#) - FY 2015 Health and Human Services Appropriations Act). This is a decrease of \$15.6 million compared to FY 2014. The decrease in funding was mostly due to decreased estimates in childcare expenditures in FY 2014 and FY 2015, federal funds then being carried forward to FY 2015, and an additional \$8.7 million Temporary Assistance for Needy Families (TANF) funding for Early Childhood Iowa in FY 2015. When federal fund carryforwards are used, the funds supplant General Funds in a one-time manner that must be adjusted in succeeding fiscal years.

FY 2015 is also the first year that new eligibility requirements permit parents that are both employed part-time and participating in academic or vocational training part-time to use a combination of these hours to meet the 28 hours a week minimum. No additional expenditures associated with implementing changes to the federal Child Care Development Block Grant have been included in these projections.

**CCA Program Estimated Ranges.** The projections workgroup agreed to the following consensus estimates:

- An estimated surplus of \$3.6 million for the CCA Program in FY 2015. This projection is based on four full months of expenditure data available in FY 2015, FY 2014 CCA Program trends, and projections about the impacts of the eligibility changes to the Program. This surplus estimate includes the carry-forward of the FY 2014 surplus. Projections used federal funds based on FY 2014 actuals and did not estimate any change with the recent appropriation changes at the federal level.
- An estimated deficit of \$5.4 million for the CCA Program in FY 2016. With four months of actual billing data in FY 2015, the impact of the eligibility change has not increased substantially as far as enrollment goes; however, DHS has noted that the number of child care units for children already enrolled in the Program is increasing. The deficit demonstrates the current gap in the Program from the amount of federal funds utilized in the carry forward for FY 2015. When utilizing the projected surplus in FY 2015, the FY 2016 deficit shrinks to \$1.8 million.



**Adoption Subsidy Program FY 2015.** The FY 2015 Health and Human Services Appropriations Act included a General Fund appropriation of \$42.6 million for the Adoption Subsidy Program. This was an increase of \$1.9 million compared to FY 2014.

**Adoption Subsidy Program Estimated Ranges.** The projections workgroup agreed to the following consensus estimates:

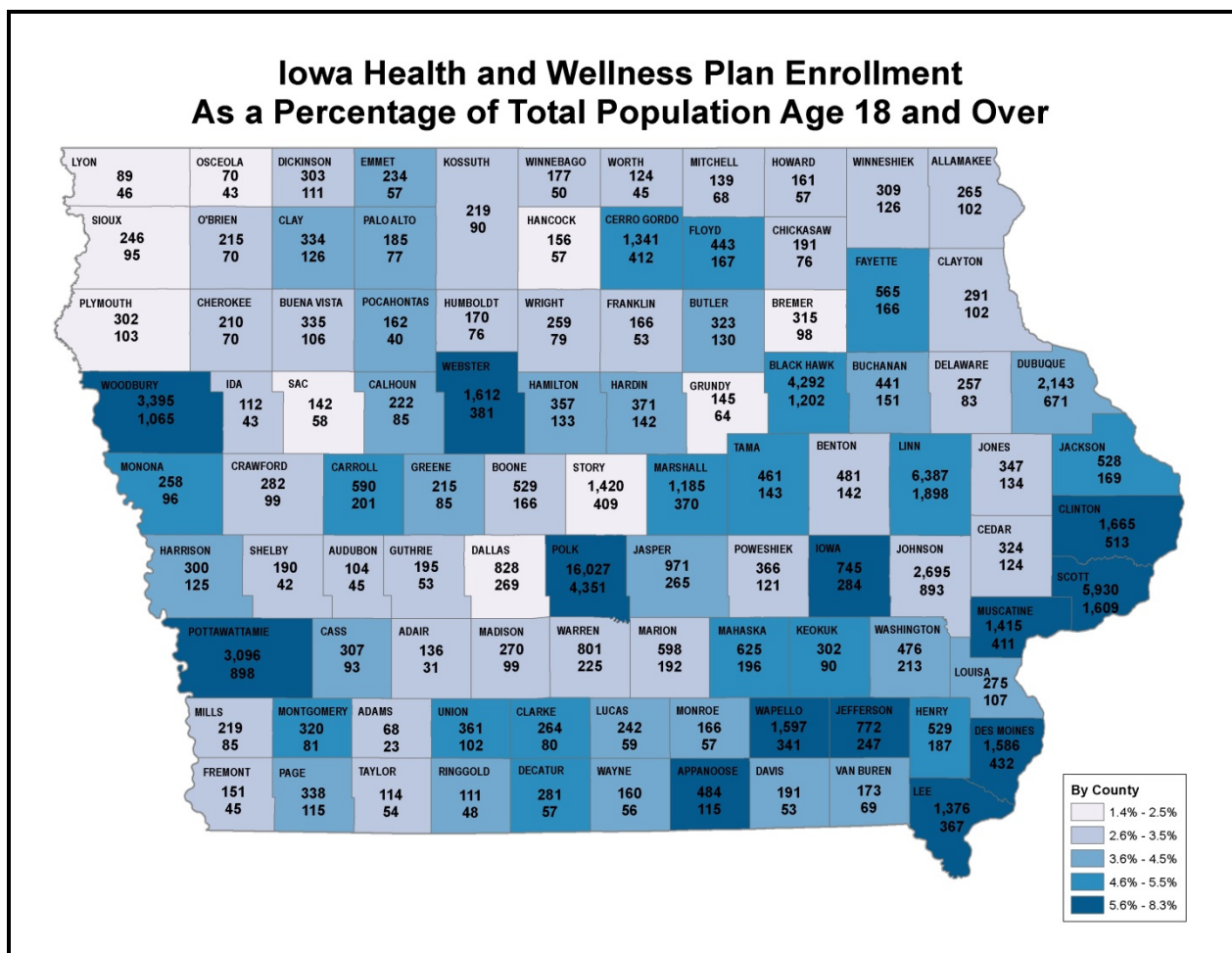
- An estimated surplus of \$607,000 in FY 2015. This projection is based on FY 2014 trends four months of expenditure data available in FY 2015.
- An estimated deficit of \$871,000 in FY 2016. This projection is based on FY 2014 trends and two months of expenditure data available in FY 2015.

**Next Meeting.** The Child Care and Adoption Subsidy Program projections workgroup will meet again in March to update the FY 2015 and FY 2016 expenditure projections.

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## MAP – IOWA HEALTH AND WELLNESS PLAN ENROLLMENT

**Map.** The following map shows enrollment in the Iowa Wellness Plan and the Marketplace Choice Plan by county as of August 29, 2014. The statewide enrollment in both programs on that date was 107,425.



**Maps Online.** To see further detail on this map or to view other maps, go to:  
<https://www.legis.iowa.gov/resources/mappingResources/mapOfTheWeek>.

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### CHILD WELFARE ADVISORY COMMITTEE

**December Meeting.** The Child Welfare Advisory Committee met on December 5, 2014, in Des Moines. The Committee received a report from Disability Rights Iowa on several recent investigations, the federal investigative powers of the organization, process of investigations, and procedures for remedies for found violations. The Committee briefly discussed their role in the overall children's welfare system and duplication with other boards. Mr. George Belitsos provided an update on anti-human trafficking efforts in Iowa.

**Next Meeting.** The next meeting will be March 13, 2014, in Des Moines.

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### eHEALTH ADVISORY COUNCIL MEETING

**December Meeting.** The eHealth Advisory Council met on December 5, 2014 in Des Moines. The Council was provided an update on the current goals and timelines being worked on by staff. The number of users of the Iowa Health Information Network (IHIN) continues to grow. The Council adopted a recommendation to allow Long-Term Care providers to join the IHIN without a fee for two years. The goal is to create further participation and hopefully demonstrate the advantages in participating to providers. The Council was also advised that Xerox will be exiting as the contractor for support of the IHIN. The software will not change, but the technical support component will no longer be there. This severance change is expected to take place January 30.

**Policy Review.** The Council reviewed its Privacy and Security Policies and adopted changes recommended by staff that revised the definition of HIPAA to include the Omnibus Rule and equivalent standards.

**Workgroup Recommendations—Governance Transition.** Staff from the Iowa Department of Public Health (IDPH) presented the Council with a legislative proposal that would transition the IHIN from a government led service to a service led by a nonprofit entity. The perceived benefit of this move is that a nonprofit entity would have more flexibility to react quickly in regards to procurement and technology adaption than a government agency would due to the limited procurement laws. The IDPH contends that this change would prove to be more sustainable in the long run. There was conversation about the pros and cons of moving in this direction and the logistics involved with a "transition" or "sale" of the IHIN. The Council approved the recommendation with the addition of language in the instance that there was no private bidder and recommended that the IDPH initiate a Request for Information to discover if there are parties interested in taking over the duties of the IHIN. Additional information and the White Paper presented to the Council are available from the Legislative Services Agency on request.

**Next Meeting.** The next meeting will be February 6, 2014, at Mercy Medical Center in Des Moines.

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### JUVENILE JUSTICE ADVISORY COUNCIL

**December Meeting.** The Juvenile Justice Advisory Council met December 4, 2014, in Des Moines. The Council discussed its membership in the [Council for Juvenile Justice](#) (CJJ) and whether Title II funds received from the federal [Office of Juvenile Justice and Delinquency Prevention](#) could be utilized to pay the membership dues for the organization. Discussion focused on the benefit in federal information that the CJJ provides, and the CJJ has assured the Council that funds are kept distinct and separate from any funds used for lobbying and advocacy work.

**Three-Year Plan.** The Council spent the majority of the day further defining the goals and objectives within the five main priority areas established the Council. Those priority areas are:

- Evidence-Based Practices.
- Gender Equality.
- Disproportionate Minority Contact.
- Mental Health, Substance Abuse, and Brain Development.
- Compliance Monitoring and Research.

A position paper on the quality and level of care for young women under juvenile court jurisdiction was adopted by the council and will be released for the Legislative Session. Further work on defining goals and objectives for the priority areas of disproportionate minority contact; mental health, substance abuse, and brain development; and compliance monitoring and research will be taken up at the next meeting in March.

**Next Meeting.** The next meeting of the Council will be Thursday, March 5, 2015, at Polk County River Place in Des Moines.

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### **VETERANS TRUST FUND UPDATE**

**Fund Balance.** As of November 30, 2014, the Veterans Trust Fund Balance is \$20.9 million. The total principal balance after the lottery transfer is \$20.4 million. The total spendable portion of the Trust Fund balance (including the interest income, donations, and lottery transfer) is approximately \$311,000. Expenditures to date for FY 2015 have totaled approximately \$120,000. Assistance categories are established in Iowa Code section [35A.13\(6\)](#) and in Iowa Administrative Rules [801, Chapter 14](#). Examples of permissible expenditures include housing repairs, emergency medical care, dental expenses, eye glasses, hearing aids, prescriptions, automobile repair, etc.

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### **CRIMINAL JUSTICE INFORMATION SYSTEM ADVISORY COMMITTEE**

**Telephonic Meeting.** The Criminal Justice Information System (CJIS) Advisory Committee met for a telephonic meeting on December 11, 2014. The status of the current budget shows that there may be a carryover of \$300,000 at the end of FY 2015, barring extenuating circumstances. Other agenda items included:

- An update was given regarding the Electronic Document Management System (EDMS) Exchanges. There are only 25 counties left to connect for the EDMS to be fully operational. The Court system EDMS project work is current, and those exchanges will be implemented as new counties are brought on line. Positive feedback is being received from counties that are currently operational with many saying that they are saving time and effort with the electronic filing. The Iowa State Patrol and Department of Natural Resources are two statewide agencies that will be utilizing the EDMS.
- Contract issues with URL Integration were discussed. URL is doing the work to connect exchanges with the new Exchange Service Bus (ESB). A motion was made and approved to provide partial payment to URL for completed endpoint services with full payment not being made until every exchange has been successfully migrated. Additionally, the contract with URL was approved to be extended through June 30, 2017.
- Interviews to fill the Iowa Code chapter [28D](#) agreement in which an Information Technology (IT) person will be hired to back up Dave Schmitz (current CJIS BUS administrator) and also work on other CJIS projects have been held, and it is planned to have this person in place in early January.
- Connections are still being put into place for a Disaster Recovery Site and it will be a few months before that is in operation. The Department of Public Safety is involved with this project.
- Discussion was held regarding using the electronic system for juvenile delinquency petitions. These are not always criminal in nature, but much of the information would fall under criminal justice information. Work will be done on those exchanges between the county attorneys and the courts, juvenile court services and the county attorneys, and law enforcement and juvenile court services. The Committee decided not to pursue non-delinquency information exchanges at this time as that information is not public record.

**Next Meeting.** The next meeting of the Committee is scheduled for March 12, 2015.

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## NEW REPORT ON IOWA COMMUNITY COLLEGE EDUCATION OUTCOMES

**Report.** The Division of Community Colleges, Department of Education, released a new report: "Education Outcomes: Certificate, Diploma, and Associate Degree Programs." The report analyzes education, employment, and wage patterns of Iowa's community college graduates. The report was developed in partnership with Iowa Workforce Development and covers graduation cohorts from FY 2010, FY 2011, and FY 2012.

**Results.** The report shows that of the 43,398 students who graduated between 2010 and 2012, 86.3% remained in Iowa a year after completing their programs. Of the total graduates, 52.4% continued their education in Iowa and 33.9% were employed in Iowa. The report also discusses the number of graduates in school or employed in other states, employment by industry categories, and median wages.

**Online Report.** The new report can be found on the Department of Education Website at <https://www.educateiowa.gov/iowa-community-college-program-outcomes>.

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## CHILD CARE DEVELOPMENT BLOCK GRANT REAUTHORIZATION

**Federal Funding Reauthorized.** On November 19, 2014, the President signed the Child Care Development Block Grant (CCDBG) of 2014 (Public Law 113-186). This law is the primary federal funding source for the [Child Care Assistance](#) Program. The Act adds new State requirements addressing the safety and quality of child care that include requirements related to licensing, background checks, reporting, and eligibility. The Act requires a 12-month eligibility redetermination period (Iowa currently requires a six-month eligibility redetermination). While the law authorizes additional funding for CCDBG to implement these new requirements, this funding is not guaranteed. Funding levels are determined through the annual appropriations process.

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## FIRSTNET CONSULTATION MEETING

**Meeting.** On November 18, 2014, the Iowa Statewide Interoperable Communications System Board held its first consultation meeting with FirstNet personnel. FirstNet is the federally mandated public safety broadband network that is being developed state by state. Each state will have the choice of opting in or opting out of the network, but if the state opts out then they must develop and maintain their own broadband network dedicated to public safety. This mandate came about after concerns regarding public safety branches not being able to communicate with each other during the November 2001 attacks.

**Discussion.** The Governor's Chief of Staff, Matt Hinch, gave welcoming remarks and encouraged the representatives from FirstNet to consider the needs of all of Iowa. He also stated that the network needs to be sustainable with the federal government available and willing to provide assistance to state and local governments.

**Goals.** David Buchanan of FirstNet stated that Iowa has done a good job of pulling together a diverse representation of the public safety sector for the Board. He indicated that the four goals of the day were to:

- Consider and gather information on Iowa's requirements and needs.
- Share the plans and strategy for FirstNet that have been developed to date.
- Hear from the Committee and those in attendance.
- Set the path for continuing to work together.

**Network.** Currently, all first responder communications rely on commercial networks. The goal is to build a stand-alone wireless broadband network to support public safety functions and not have to compete with private networks and customers. Public Safety requirements for this network would include having a dedicated spectrum, hardened infrastructure, specialized devices, cyber security, and the ability to support public safety applications. An example of a situation where a dedicated public safety network would have been beneficial is the Parkersburg tornado. Also, if a major event were to occur during an

event such as the Iowa State Fair or RAGBRAI, a commercial network would not be able to handle the network demand for private and public safety users.

**Funding.** Currently, \$135.0 million in federal funds have been earmarked for planning of the FirstNet network nationally, and \$7.0 billion for implementation. It is expected that user and leasing fees for excess network capacity will provide the funding for growth and sustainment of the network. The Request For Proposals (RFP) process is currently being developed to include a Request for Information (RFI) and Statement of Objectives (SOO). The consultation process will consider:

- Construction of a Core Network and Radio Access Network build out.
- Placement of towers.
- Coverage areas of network.
- Adequacy of hardening.
- Assignment of priority to local and secondary users.
- Training of local users.

**Outreach.** State outreach efforts have included county presentations, establishing Regional Interoperability Committees (RIC), a media blitz and email blitz. Over 200 local officials have signed up to be members of RICs and local interest is strong in being involved in the decision process. Those attending the meeting asked several questions regarding the timing of building the network and when a draft of the RFP for Iowa will be available. There were concerns regarding what the coverage priorities in Iowa would be and what types of data would need to be gathered for FirstNet to proceed.

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## **MEETINGS MONITORED**

**Meetings Monitored.** The following meetings were monitored by Fiscal Services staff. Please contact the staff listed for more information.

<b>Meeting</b>	<b>Date</b>	<b>Staff Contact</b>
Board of Corrections	November 7	Beth Lenstra (1-6301) Alice Wisner (1-6764)
State Appeal Board	November 10 December 1	Beth Lenstra(1-6301) Christin Mechler (1-6561)
Early Childhood Iowa State Board	November 7	Tim Crellin (5-1286)
Council on Human Services	November 12	Kent Ohms (5-2200)
Racing and Gaming Commission	November 13	Christin Mechler (1-6561)
Air Quality Stakeholder Meeting	November 13	Deb Kozel (1-6767)
Water Resource Coordinating Council	November 14	Deb Kozel (1-6767)
Watershed Planning Advisory Council	November 14	Deb Kozel (1-6767)
Sex Offender Research Council	November 19	Beth Lenstra (1-6301) Alice Wisner (1-6764)
Public Safety Advisory Board	November 19	Beth Lenstra (1-6301) Alice Wisner (1-6764)
Criminal and Juvenile Justice Planning Advisory Council	November 19	Beth Lenstra (1-6301) Alice Wisner (1-6764) Kenneth Ohms (5-2200)
Iowa Technology and Technology Commission	November 19	Jennifer Acton (1-7846)
State Board of Education	November 19	Tim Crellin (5-1286)
Iowa Public Information Board	November 20	Christin Mechler (1-6561)
Governor's Budget Hearings – Department of Corrections and Office of the Attorney General	November 21	Beth Lenstra (1-6301) Alice Wisner (1-6764)
Governor's Budget Hearings-Depart of Inspections and Appeals, Iowa Public Information Board	November 21	Jennifer Acton (1-7846) Christin Mechler (1-6561)
Substance Abuse Provider Reimbursement Stakeholders	November 21	Kent Ohms (5-2200)
College Student Aid Commission	November 21	Robin Madison (1-5270)



Governor's Budget Hearings: Department of Agriculture and Land Stewardship and the Department of Natural Resources	December 1	Deb Koziel (1-6767)
Governor's Budget Hearings: Homeland Security and Emergency Management Department, Civil Rights Commission, Department of Public Defense	December 2	Beth Lenstra (1-6301) Alice Wisner (1-6764)
Governor's Budget Hearing: Iowa Communications Network	December 2	Jennifer Acton (1-7846) Christin Mechler (1-6561)
Governor's Budget Hearings: Board of Parole, Office of the State Public Defender, Department of Public Safety, Iowa Law Enforcement Academy	December 3	Beth Lenstra (1-6301) Alice Wisner (1-6764)
State Soil Conservation Committee	December 4	Deb Koziel (1-6767)
Juvenile Justice Advisory Council	December 4	Kent Ohms (5-2200) Alice Wisner (1-6764)
e-Health Advisory Council	December 5	Kent Ohms (5-2200)
Child Welfare Advisory Committee	December 5	Kent Ohms (5-2200)
Board of Regents	November 14	Robin Madison (1-5270)
Board of Regents	December 2	Robin Madison (1-5270)
Governor's Budget Hearings: Department of Human Services, Department of Public Health, Veterans Home, and Department of Veterans Affairs	December 8	Jess Benson (1-4611) Kent Ohms (5-2200)
Criminal Justice Information System Advisory Committee	December 11	Alice Wisner (1-6764)

This document is available online at: <https://www.legis.iowa.gov/publications/fiscal/fiscalUpdate>